特許協力条約

PCT

国際予備審査報告

REC'B 17 JUL. 1998
WIPO PCT

(法第12条、法施行規則第56条) [PCT36条及びPCT規則70]

出願人又は代理人 の書類記号 660348		.ついては、国際予備審査報告の送付通知(様式PCT/ I PEA/416)を参照すること。 				
国際出願番号 _. PCT/JP97/01893	国際出願日 (日.月.年) 04.06.97	優先日 (日.月.年) 07.06.96				
国際特許分類 (IPC) Int.Cl* C12N15/12,A	61K31/70,C12N5/10,C0	7K14/82,C07K16/32				
出願人 (氏名又は名称) 伊東 恭悟						
1. 国際予備審査機関が作成したこの国	際予備審査報告を法施行規則第57条(P の	C T 3 6 条) の規定に従い送付する。				
2. この国際予備審査報告は、この表紙	を含めて全部で3 ペー	ジからなる。				
_	属書類、つまり補正されて、この報告の 明細書、請求の範囲及び/又は図面も添ん 実施細則第607号参照) ページである。	•				
3. この国際予備審査報告は、次の内容	を含む。					
I X 国際予備審査報告の基礎	•					
Ⅱ □ 優先権						
Ⅲ	上の利用可能性についての国際予備審査報	告の不作成				
IV 説明の単一性の欠如						
の文献及び説明	る新規性、進歩性又は産業上の利用可能性	生についての見解、それを裏付けるため				
VI L ある種の引用文献						
VII 国際出願の不備						
VII 国際出願に対する意見						
	· · · · · · · · · · · · · · · · · · ·					
国際予備審査の請求書を受理した日 27.10.97	国際予備審査報告を作	作成した日 7.07.98				
名称及びあて先 日本国特許庁 (IPEA/JP) 郵便番号100-8915	特許庁審査官(権限 <i>0</i> 滝本 晶子	のある職員) 4B 9452				
東京都千代田区霞が関三丁目4番		25				

電話番号 03-3581-1101 内線 3449

Ι.	Ξ	際予備審査報	報告の基礎	:				
1.						れた。(法第6条 おいて「出願時」		の規定に基づく命令に
	X	出願時の国際	祭出願書類	•				
		明細書	第	<u>.</u>	ページ、	出願時のもの	and to the control of the control of	
		明細書 明細書 明細書	第 第 第		ページ、 ページ、 ページ、	国際予備番査の		されたもの と共に提出されたもの と共に提出されたもの
	П	請求の範囲	第		項、	出願時に提出さ	 -	ことに使用られいこもの
	ليا	請求の範囲請求の範囲	第 ————			PCT19条の	規定に基づき補正。 請求書と共に提出。	
		請求の範囲請求の範囲	第 第				付の書簡	と共に提出されたものと共に提出されたもの
		図面	第		 ページ/図、		-	
		図面図面	第 第		ページ/図、 ページ/図、 ページ/図、	国際予備審査の		と共に提出されたもの
		図面	第		八二〇/ 因、		刊の書間。	と共に提出されたもの
2.	相□	i正により、↑ 明細書	下記の書類が削 第	除された。	ページ			
		請求の範囲 図面	第 	-	項 ページ/図			
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3						が出願時における , (PCT規則70		てされたものと認めら
4.	迫	加の意見(必	必要ならば)					
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国際予備審査報告

国際出願番号 PCT/JP97/01893

i請求の範囲 無 進歩性 (IS) 請求の範囲 1-11 有 i請求の範囲 無 産業上の利用可能性 (IA) 請求の範囲 1-11 有	見解					
進歩性 (IS) 請求の範囲 1-11 有無	新規性(N)	請求の範		- 1 1		有
議求の範囲 1-11 有		請求の範	.囲			<u></u> #
文献及び説明 請求の範囲1~11に記載された発明は、国際調査報告に表示された文献及び当 该発明に関連があると認められる文献に記載されておらず、当業者にとって自明なも のでもない。	進歩性(IS)					有 無
	産業上の利用可能性 (IA)		.) (選 <u>1</u> -	- 1 1	-	有 有 無
請求の範囲1~11に記載された発明は、国際調査報告に表示された文献及び≧ 核発明に関連があると認められる文献に記載されておらず、当業者にとって自明なも のでもない。						
のでもない。	文献及び説明					
のでもない。	請求の範囲1~11に記載	成された発明は	、国際調査報	告に表示さ	れた文献及	び当
	该発明に関連があると認められ のでもない。	いる文献に記載	されておらず	、当業者に	とって自明	なも
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PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT	То:
NOTIFICATION OF ELECTION (PCT Rule 61.2) Date of mailing: 11 December 1997 (11.12.97)	United States Patent and Trademark Office (Box PCT) Crystal Plaza 2 Washington, DC 20231 ETATS-UNIS D'AMERIQUE in its capacity as elected Office
International application No.: PCT/JP97/01893	Applicant's or agent's file reference: 660348
International filing date: 04 June 1997 (04.06.97)	Priority date: 07 June 1996 (07.06.96)
Applicant: ITOH, Kyogo et al	
in the demand filed with the International preliminary 27 October 199 in a notice effecting later election filed with the International preliminary 2. The election X was was was not was not made before the expiration of 19 months from the priority of Rule 32.2(b).	97 (27.10.97) ational Bureau on:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer: J. Zahra Telephone No.: (41-22) 338 83.38

19/202047
PATENT COOPERATION TREATY 5060

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION CONCERNING DOCUMENT TRANSMITTED

To:

United States Patent and Trademark Office (Box PCT) Crystal Plaza 2 Washington, DC 20231 ÉTATS-UNIS D'AMÉRIQUE

Date of mailing (day/month/year) 20 November 1998 (20.11.98)

in its capacity as elected Office

International application No. PCT/JP97/01893

International filing date (day/month/year) 04 June 1997 (04.06.97)

Applicant

ITOH, Kyogo et al

The International Bureau transmits herewith the following documents and number thereof:

copy of the English translation of the international preliminary examination report (Article 36(3)(a))

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Sean Taylor

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

INTERNATIONAL FORM

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

NAME AND ADDRESS OF DEPOSITOR NAME: Kyogo ITOH

ADDRESS:

2-25-9, Keyaki-dai, Kiyama-cho,

Miyaki-gun, Saga-ken

1. IDENTIFICATION OF THE MICROORGANISMS

Identification reference given by the (Deposit No.)
DEPOSITOR

E. coli JM109 (K3)

FERM BP-5951

2. SCIENTIFIC DESCRIPTION AND PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I above was accompanied by:

a scientific description

a proposed taxonomic designation

3. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism as identified under 1 above, which was received by it on May 22, 1997 (dated of the original deposit).

4. RECEIPT OF REQUEST FOR TRANSFER

This International Depositary Authority received the microorganism as recited in the above item 1 on , (dated of the original deposit) and then received a request for transfer the original deposit to International deposition under Budapest Treaty on ,

5. INTERNATIONAL DEPOSITARY AUTHORITY

Name:

National Institute of Bioscience and Human-Technology Agency of Industrial Science and Technology

Michio OISHI, Dr., DIRECTOR GENERAL.

Address: 1-3, Higashi 1-chome, Tsukuba-shi, Ibaraki-ken, 305, JAPAN

May 23, 1997



特許手続上の微生物の寄託の国際的承認 に関するプタペスト条約

下記国際寄託当局によって規則7.1に従い 発行される。

原寄託についての受託証

BUDAPEST TREATY ON THE INTERNATION NAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

氏名 (名称)

伊東 恭悟

寄託者

あて名 〒

佐賀県三養基郡基山町けやき台2-25-9

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1.	徴	#	ולל	മ	寿	示
1.	1000	ᆂ	.72	~,	35	/J\

(寄託者が付した識別のための表示) E. coli JM109 (K3) (受託番号) FERM BP- 5951

- 2. 科学的性質及び分類学上の位置
 - 1欄の微生物には、次の事項を記載した文書が添付されていた。
 - 科学的性質
 - 分類学上の位置
- 3. 受領及び受託

本国際寄託当局は、 平成 9 年 5 月 2 2 日 (原寄託日) に受領した1欄の微生物を受託する。

4. 移管請求の受領

本国際寄託当局は、

年

月 日 (原寄託日) に1欄の微生物を受領した。

そして、

月

日 に原寄託よりブダベスト条約に基づく寄託への移管請求を受領した。

5. 国際寄託当局

名 称:

通商産業省工業技術院生命工学工業技術研究所

National In State Bioscience and Human-Technology
Agency of Confliction Science and Technology

所長 大石 道斯出命互南

DESTRUCTION GENERAL.

Michi 之邦等別間下 あて名: 日本国来 紀紀に記りに

市東1丁目1番3号(郵便番号305)

1-3, Higashi 1 chome Tsukuba-shi Ibaraki-ken

305, JAPAN

平成 9年 (1997) 5月23日

(translation)

INTERNATIONAL FORM

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL **DEPOSIT**

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

NAME AND ADDRESS OF DEPOSITOR

NAME: Kyogo ITOH

ADDRESS:

2-25-9, Keyaki-dai, Kiyama-cho,

Miyaki-gun, Saga-ken

1.	IDENTIFICATION	OF THE	MICROORGANISMS

Identification reference (Deposit No.) given by the DEPOSITOR KE-4CTL **FERM BP-5954**

2. SCIENTIFIC DESCRIPTION AND PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I above was accompanied by:

a scientific description

a proposed taxonomic designation

3. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism as identified under 1 above, which was received by it on May 23, 1997 (dated of the original deposit).

4. RECEIPT OF REQUEST FOR TRANSFER

This International Depositary Authority received the microorganism as recited in the above (dated of the original deposit) and then received a request for item 1 on transfer the original deposit to International deposition under Budapest Treaty

5. INTERNATIONAL DEPOSITARY AUTHORITY

Name: National Institute of Bioscience and Human-Technology Agency of Industrial Science and Technology

Michio OISHI, Dr., DIRECTOR GENERAL.

Address: 1-3, Higashi 1-chome, Tsukuba-shi, Ibaraki-ken, 305, JAPAN

May 23, 1997



寄託者



特許手続上の徴生物の寄託の国際的承認 に関するプタペスト条約

下記国際寄託当局によって規則7.1に従い 発行される。

原寄託についての受託証

氏名 (名称)

伊東 恭悟

仕勿用二弟其刑其山町はあるみり

殿

PATENT PROCEDURE

DEPOSIT

BUDAPEST TREATY ON THE INTERNATIO-NAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF

RECEIPT IN THE CASE OF AN ORIGINAL

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY

identified at the bottom of this

世員示二度を印を口引りてら日とーと 3ー9	
1. 微生物の表示	
(寄託者が付した識別のための表示) KE-4CTL	(受託番号) FERM BP- 5954
2. 科学的性質及び分類学上の位置	· · · · · · · · · · · · · · · · · · ·
1 欄の微生物には、次の事項を記載した文書が添付されていた。	
■ 科学的性質 ■ 分類学上の位置	
3. 受領及び受託	
本国際寄託当局は、 平成 9 年 5 月 23 日 (原寄託日) に受領した	た1 欄の微生物を受託する。

4.移管請求の受領

本国際寄託当局は、

日(原寄託日)に1欄の微生物を受領した。

そして、

月

日 に原寄託よりプダペスト条約に基づく寄託への移管請求を受領した。

国際寄託当局

通商産業省工業技術院生命工学工業技術研究所

National Institution Bioscience and Human-Technology
Agency of Industrial Science and Technology 名 称:

調性命互當

Michie O声ship phi D . DIRECTOR GENERAL.

あて名: 日本国茨 市東1丁目1番3号

1-3, Higashi 1 chome Tsukuba-shi Ibaraki-ken 305, JAPAN

平成 9年(1997)

INTERNATIONAL FORM

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

NAME AND ADDRESS OF DEPOSITOR

NAME: Kyogo ITOH

ADDRESS:

2-25-9, Keyaki-dai, Kiyama-cho,

Miyaki-gun, Saga-ken

1	IDENTIFICATION	OF THE	MICROORGANISMS

Identification reference given by the DEPOSITOR esophageal cancer cell line KE-4 (Deposit No.)

2. SCIENTIFIC DESCRIPTION AND PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I above was accompanied by:

a scientific description

a proposed taxonomic designation

3. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism as identified under 1 above, which was received by it on May 23, 1997 (dated of the original deposit).

4. RECEIPT OF REQUEST FOR TRANSFER

This International Depositary Authority received the microorganism as recited in the above item 1 on (dated of the original deposit) and then received a request for transfer the original deposit to International deposition under Budapest Treaty on

5. INTERNATIONAL DEPOSITARY AUTHORITY

Name: National Institute of Bioscience and Human-Technology Agency of Industrial Science and Technology

Michio OISHI, Dr., DIRECTOR GENERAL.

Address: 1-3, Higashi 1-chome, Tsukuba-shi, Ibaraki-ken, 305, JAPAN

May 23, 1997

特許手続上の後生物の寄託の国際的承認 に関するプタペスト条約

下記国際寄託当局によって規則 7. 1 に従い 発行される。

原寄託についての受託証

MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL

BUDAPEST TREATY ON THE INTERNATIO-NAL RECOGNITION OF THE DEPOSIT OF

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

is sued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

平成 9年(1997)

氏名 (名称)

伊東 恭悟

寄託者

あて名 〒

殿

佐賀県三養基郡基山町けやき台2-25-9

	·
1. 後生物の表示	
(寄託者が付した識別のための表示) 食道癌細胞株KE-4	(受託番号) FERM BP- 5955
2. 科学的性質及び分類学上の位置	
1 棚の後生物には、次の事項を記載した文書が添付されていた。■ 科学的性質■ 分類学上の位置	
3. 受領及び受託	
本国際寄託当局は、 平成 9 年 5 月 2 3 日 (原寄託日) に受領した 1 相	爾の徴生物を受託する。
4. 移管請求の受領	
本国際寄託当局は、 年 月 日(原寄託日)に1欄の後生れ そして、 年 月 日に原寄託よりブダベスト条約に基づく。 5. 国際寄託当局	
- 3. 日本町RC日内	
通商産業省工業技術院生命工学工業技術研究所	
National Instrumental Bioscience and Hu 名称: Agendy Zoff in Difference and Electron General Michid On Director General	Technology
座房 農漁運	字 3 号 (郵便番号305)

Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

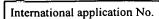
Applicant's or agent's file reference 660348	FOR FURTHER ACTION	See Notific Preliminary	cation of Transmittal of International Examination Report (Form PCT/IPEA/416)
International application No. PCT/JP97/01893	International filing date (day/ 04 June 1997 (04/0		Priority date (day/month/year) 07 June 1996 (07/06/96)
International Patent Classification (IPC) or n C12N 15/12, A61K 31/70, C12N		.6/32	
Applicant	ITOH, Kyogo)	
This international preliminary example Authority and is transmitted to the appropriate to the appropria			International Preliminary Examining
2. This REPORT consists of a total of	sheets, includ	ng this cover s	sheet.
been amended and are the ba	nied by ANNEXES, i.e., sheets asis for this report and/or sheet a 607 of the Administrative Inst	s containing re	tion, claims and/or drawings which have ectifications made before this Authority the PCT).
These annexes consist of a to	total of sheets.		
This report contains indications relations.	ating to the following items:	<u> </u>	
[Basis of the report	:		
II Priority			
III Non-establishment	t of opinion with regard to nove	lty, inventive	step and industrial applicability
IV Lack of unity of in	ivention		
V Reasoned statemen	nt under Article 35(2) with regar anations supporting such statem	rd to novelty, ent	inventive step or industrial applicability;
VI Certain documents	s cited		
VII Certain defects in t	the international application		
	ons on the international applicat	ion	
			•
Date of submission of the demand	Date of	of completion of	of this report
. 27 October 1997 (27/1	10/97)	0	77 July 1998 (07/07/98)
Name and mailing address of the IPEA/JP	Autho	rized officer	
Facsimile No.	Telep	hone No.	

International application No.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT/JP97/01893

Basis of the r		on the basis of (Replacement sheets	which have been furnished to the receiving Office in response to an invitation
under Article l	4 are referred to	in this report as "originally filed"	and are not annexed to the report since they do not contain amendments.):
⊠ tl	he international	application as originally filed.	
[tl	he description,	pages	, as originally filed,
		pages	, filed with the demand,
		pages	, filed with the letter of
		pages	, filed with the letter of
☐ ti	he claims,	Nos	, as originally filed,
_		Nos.	, as amended under Article 19,
		Nos.	, filed with the demand,
		Nos.	, filed with the letter of
		Nos.	, filed with the letter of
☐ ti	he drawings,	sheets/fig	, as originally filed,
_		sheets/fig	, filed with the demand,
		sheets/fig	, filed with the letter of
		sheets/fig	, filed with the letter of
he amendme	ents have resulte	ed in the cancellation of:	
ti	he description,	pages	
tl	he claims,	Nos	
☐ t	he drawings,	sheets/fig	
This re to go b	port has been es eyond the discloservations, if no	osure as filed, as indicated in the	endments had not been made, since they have been considered Supplemental Box (Rule 70.2(c)).
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT/JP97/01893

atement	ϵ_{i}		•
Novelty (N)	Claims	1-11	YE
	Claims		NO
Inventive step (IS)	Claims	1-1/1	YE
	Claims		NO
Industrial applicability (IA)	Claims	1-11	YE
	Claims		NO

2. Citations and explanations

The inventions of claims 1-11 are neither disclosed in any of the documents cited in the ISR nor in the documents considered to be relevant to the present inventions, and they are nonobvious to a person skilled in the art. e.g. expression vectors, the necessary common attribute is the ORF (SEQ ID NO: 2).

Weighing all factors including (1) that the full length ORF (SEQ ID NO: 2) is disclosed and (2) that any substantial variability within the genus arises due to addition of elements that are not part of the inventor's particular contribution, taken in view of the level of knowledge and skill in the art, one skilled in the art would recognize from the disclosure that the applicant was in possession of the genus of DNAs that comprise SEQ ID NO: 2.

Conclusion: The written description requirement is satisfied.

Example 9: Hybridization

Specification: The specification discloses a single cDNA (SEQ ID NO:1) which encodes a protein that binds to a dopamine receptor and stimulates adenylate cyclase activity. The specification includes an example wherein the complement of SEQ ID NO: 1 was used under highly stringent hybridization conditions (6XSSC and 65 degrees Celsius) for the isolation of cDNAs that encode proteins that bind to dopamine receptor and stimulate adenylate cyclase activity. The hybridizing nucleic acids were not sequenced. They were expressed and several were shown to encode proteins that bind to a dopamine receptor and stimulate adenylate cyclase activity. These sequences may or may not be the same as SEQ ID NO: 1.

Claim:

An isolated cDNA that specifically hybridizes under highly stringent conditions to the complement of the sequence set forth in SEQ ID NO: 1,

wherein said nucleic acid encodes a protein that binds to a dopamine receptor and stimulates adenylate cyclase activity.

Analysis:

A review of the full content of the specification indicates that the essential feature of the claimed invention is the isolated nucleic acid that hybridizes to SEQ ID NO: 1 under highly stringent conditions and encodes a protein with a specific function. The art indicates that hybridization techniques using a known DNA as a probe under highly stringent conditions were conventional in the art at the time of filing.

The claim is drawn to a genus of nucleic acids all of which must hybridize with SEQ ID NO: 1 and must encode a protein with a specific activity.

The search of the prior art indicates that SEQ ID NO: 1 is novel and unobvious.

There is a single species disclosed (a molecule consisting of SEQ ID NO: 1) that is within the scope of the claimed genus.

There is actual reduction to practice of the disclosed species.

Now turning to the genus analysis, a person of skill in the art would not expect substantial variation among species encompassed within the scope of the claims because the highly stringent hybridization conditions set forth in the claim yield structurally similar DNAs. Thus, a representative number of species is disclosed, since highly stringent hybridization conditions in combination with the coding function of DNA and the level of

skill and knowledge in the art are adequate to determine that applicant was in possession of the claimed invention.

Conclusion: The claimed invention is adequately described.